International Journal of Advanced Engineering Science and Information Technology (IJAESIT)

|ISSN 2349-3216| Volume 8, Issue 2, November – December 2025| Bimonthly, Peer Reviewed and Scholarly Indexed Journal|

DOI: 10.15662/IJAESIT.2025.0806003

Privacy-Aware Machine Learning and Generative AI for Healthcare Data Security using SAP and Databricks

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ABSTRACT: The rapid adoption of cloud-native platforms in healthcare has intensified the need for robust data security and privacy-preserving analytics. This study presents a privacy-aware machine learning and generative AI framework for securing healthcare data using SAP-integrated Databricks platforms. The proposed approach leverages scalable lakehouse architecture, advanced machine learning pipelines, and generative AI techniques to enable secure data processing, real-time analytics, and intelligent decision support while maintaining regulatory compliance. Privacy-aware mechanisms such as secure data isolation, access control, and governance are incorporated to protect sensitive patient information across distributed environments. By integrating SAP systems with Databricks, the framework ensures interoperability, performance optimization, and enterprise-grade security for healthcare applications. Experimental observations demonstrate improved data security, scalability, and analytical efficiency, making the proposed architecture suitable for modern, cloud-native healthcare ecosystems.

KEYWORDS: Privacy-Aware Machine Learning, Generative AI, Healthcare Data Security, Cloud-Native Systems, SAP Integration, Databricks Lakehouse, Secure Healthcare Analytics.

I. INTRODUCTION

Machine learning (ML) has become a cornerstone of modern computing systems, enabling predictive analytics, personalization, and automation in contexts ranging from e-commerce to public health decision support. The surge in available data has driven ML adoption across sectors, including cloud computing infrastructures, healthcare services, and financial systems. However, many of the datasets that power these models contain highly sensitive information. For healthcare institutions, data may include protected health information (PHI) subject to stringent regulations such as HIPAA. In financial systems, datasets contain transaction histories, account balances, and other data that must remain confidential to prevent fraud, identity theft, and regulatory violations. Meanwhile, cloud environments centrally host third-party data and applications, raising concerns about data sovereignty, access control, and multi-tenant privacy. These challenges have amplified the need for privacy-preserving approaches that allow ML models to learn from data without compromising individual privacy or regulatory compliance.

Traditional machine learning paradigms assume centralized data collection and model training. This model of operation exposes significant privacy risks: storing sensitive data in centralized servers increases the potential for unauthorized access, insider threats, and external breaches. In addition, regulatory frameworks such as the General Data Protection Regulation (GDPR) and Health Insurance Portability and Accountability Act (HIPAA) impose strict requirements on how personal data can be processed, shared, and stored. Non-compliance can lead to substantial financial penalties and reputational harm. Consequently, the development of privacy-preserving machine learning (PPML) techniques has become a research priority aimed at reconciling the benefits of data-driven intelligence with rigorous privacy safeguards.

PPML encompasses a range of techniques designed to enable ML model training and inference without exposing raw sensitive data. These methods often involve cryptographic primitives, distributed learning architectures, and mathematical noise addition to ensure that data privacy is maintained even when models learn patterns across distributed datasets. Key paradigms within PPML include **federated learning**, which trains global models by aggregating locally computed updates without transmitting raw data; **differential privacy**, which introduces carefully calibrated noise to query outputs or model parameters to mask individual contributions; **secure multi-party computation (SMPC)**, allowing multiple parties to collaboratively compute functions over their inputs while keeping those inputs private; and **homomorphic encryption (HE)**, enabling computations directly on encrypted data without decryption.

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Federated learning (FL) exemplifies a shift from centralized to distributed learning. Under this paradigm, client devices or local nodes train local models on their own data and share only encrypted or aggregated updates with a central server. The central server then updates the global model parameters based on these combined contributions. FL reduces the need to pool raw data in a central repository, which is particularly attractive for applications involving mobile devices and edge data sources. When combined with differential privacy and cryptographic aggregation techniques, FL provides a powerful framework for collaborative learning with privacy guarantees.

Differential privacy (DP) is another cornerstone of PPML. At its core, DP adds controlled noise to data outputs or gradients such that the inclusion or exclusion of any single data point has a statistically bounded effect on the output. This approach ensures that adversaries cannot infer sensitive details about any individual data subject, even with access to multiple model queries. Commercial systems and research platforms increasingly adopt DP variants, such as local differential privacy (LDP) where noise is added before data leaves the local client, or global differential privacy where noise is introduced at model aggregation.

Secure multi-party computation and homomorphic encryption bring strong cryptographic guarantees to PPML. SMPC enables a set of participants to jointly compute a function over private inputs while ensuring that no party learns anything beyond the final result. Homomorphic encryption allows computations to be applied to encrypted values, producing encrypted results that, when decrypted, match the outcome of operations on plaintext. While HE provides robust privacy, its computational overhead has historically limited its practicality in large-scale deployments—though recent optimizations and hardware accelerators are narrowing this gap.

The intersection of PPML, cloud computing, and sensitive domains such as healthcare and finance presents unique challenges and opportunities. Cloud services provide scalable infrastructure and distributed compute resources that can host PPML workloads but also introduce multi-tenant privacy concerns. Healthcare systems face strict compliance demands and require high model accuracy to support clinical decision-making. Financial systems must balance stringent privacy with real-time analytics needs for fraud detection, risk assessment, and algorithmic trading. Addressing these domain-specific constraints requires careful architectural design, performance optimization, and compliance mapping.

Despite significant progress, several challenges persist in PPML adoption. Computational overhead, communication complexity, model quality degradation due to privacy noise, and integration with existing data governance frameworks remain active research areas. Furthermore, the interpretability of privacy-preserving models and their robustness against adversarial attacks are critical concerns for sensitive applications.

This paper investigates the state of PPML techniques for secure cloud, healthcare, and financial systems. It aims to analyze the theoretical foundations and practical implementations of privacy safeguards in ML, assess trade-offs between privacy, utility, and performance, and propose a comprehensive framework for deploying PPML solutions in real-world environments.

II. LITERATURE REVIEW

The literature on privacy-preserving machine learning spans multiple disciplines, including cryptography, distributed systems, statistics, and artificial intelligence. Early contributions to privacy in computing trace back to fundamental work in cryptography and secure computation in the 1970s and 1980s. Goldreich, Micali, and Wigderson (1987) formalized secure multi-party computation (SMPC), establishing theoretical foundations that allow jointly computing functions over private inputs without revealing those inputs. This cryptographic paradigm later became instrumental in privacy-preserving analytics and collaborative machine learning.

In the mid-2000s, research on privacy in data mining and statistical databases matured with the formalization of differential privacy (DP) by Dwork et al. (2006). Differential privacy defined a mathematical framework for quantifying information leakage and introduced mechanisms for perturbing outputs to protect individual contributions. The subsequent decade witnessed extensive exploration of DP in various contexts, including query systems, statistical analysis, and machine learning models.

The rise of large-scale distributed systems and mobile computing in the 2010s accelerated interest in decentralized learning methods. Federated learning (FL) emerged as a natural evolution in scenarios where data remains distributed across clients, such as smartphones or edge devices. McMahan et al. (2017) pioneered a federated averaging algorithm, enabling model updates to be computed locally and aggregated globally. FL quickly became a key area of research in

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PPML, with numerous works addressing communication efficiency, privacy guarantees, and robustness to participant heterogeneity.

Secure aggregation techniques became essential in FL to ensure that model updates, when sent to central servers, reveal minimal information about local data. Bonawitz et al. (2017) proposed practical secure aggregation protocols using cryptographic primitives, enabling federated learning systems to sum client contributions without exposing individual updates. These advancements were crucial in mitigating inference attacks on FL systems.

Homomorphic encryption (HE) has deep roots in cryptographic research, with Gentry (2009) introducing the first fully homomorphic encryption (FHE) scheme that supports arbitrary computations on encrypted data. Although initial FHE schemes were computationally intensive, subsequent optimizations improved practicality for specific tasks. In ML, HE enables encrypted computation, allowing models to operate on encrypted inputs without decryption—an attractive property for cloud-hosted ML services processing sensitive data.

Healthcare applications highlighted the need for privacy safeguards long before modern PPML techniques. Research on privacy in electronic health records (EHRs) and clinical data mining explored k-anonymity, l-diversity, and related anonymization techniques to protect patient identities. However, such anonymization approaches often degrade data utility or are vulnerable to re-identification attacks. PPML offers a path to leverage rich clinical datasets without exposing patient data directly.

In financial systems, privacy concerns encompass transaction histories, credit profiles, and trading strategies, where unauthorized data disclosure can have severe economic repercussions. Early work in privacy in financial data focused on secure computation protocols and statistical privacy methods. More recent research investigates PPML for fraud detection, risk modeling, and credit scoring, applying federated approaches to collaboratively train models without centralizing confidential datasets.

Integration of differential privacy with machine learning models has been widely studied. Abadi et al. (2016) proposed the differentially private stochastic gradient descent (DP-SGD) algorithm, adapting gradient perturbation to achieve privacy guarantees during model training. This work formed the basis for private deep learning systems and influenced subsequent frameworks for privacy-aware ML.

Despite advances, challenges remain in balancing privacy and utility. Various studies evaluate the impact of differential privacy noise on model accuracy, noting trade-offs that must be carefully managed. Similarly, the high computational costs of cryptographic approaches such as FHE and SMPC have limited their adoption in large-scale ML workloads, though hybrid schemes that combine multiple techniques show promise.

The literature also highlights domain-specific considerations. In healthcare, PPML research explores distributed learning across hospitals while complying with legal mandates like HIPAA. Technical innovations include privacy-aware federated learning, encrypted data repositories, and secure inference for clinical decision support. In finance, research investigates privacy in distributed credit risk models, secure data sharing across institutions, and real-time privacy-aware analytics for fraud detection.

Overall, the literature reflects an evolving landscape where privacy concerns drive fundamental research in cryptography, distributed learning, and statistical methods. This research builds on these foundations to synthesize PPML techniques and evaluate their applicability in secure cloud, healthcare, and financial systems.

III. RESEARCH METHODOLOGY

This research employs a multi-method strategy combining theoretical analysis, simulation experiments, and practical case evaluations to study Privacy-Preserving Machine Learning (PPML) across cloud computing, healthcare, and financial systems. The methodology integrates algorithm design, privacy metric evaluation, and performance benchmarking to assess effectiveness, constraints, and trade-offs inherent in PPML techniques.

Research Objectives

1. To formally define and categorize PPML techniques relevant to distributed and sensitive data environments.
2. To develop simulation frameworks that implement privacy techniques such as federated learning, differential privacy, SMPC, and homomorphic encryption.
3. To benchmark performance (accuracy, communication cost, computation overhead, privacy guarantee) across scenarios representative of cloud, healthcare, and financial data.

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4. To analyze implications of PPML deployment in real-world sensitive domains and derive best practices for practitioners.

Framework Design

The research conceptualizes PPML as a layered architecture with the following components:

Data Layer:

Distributed datasets reside in local environments—cloud storage tenants, hospital databases, and financial institution records—without sharing raw data outside trusted boundaries.

Privacy Layer:

Privacy enforcements such as differential noise (for DP), encryption (for HE), and secure aggregation (for FL/SMPC) are applied to data or model updates.

Computation Layer:

Machine learning models (e.g., classification, regression, deep learning) are trained using privacy-preserving protocols implemented in simulated environments.

Evaluation Layer:

Privacy metrics (ε for differential privacy), model utility metrics (accuracy, loss), and system overhead (latency, communication cost) are measured.

Simulation Setup

Simulations were conducted using Python frameworks and libraries tailored for PPML:

- **TensorFlow Federated (TFF)** for federated learning simulations.
- **PySyft and Microsoft SEAL** for secure computations and homomorphic encryption experiments.
- Custom implementations of secure aggregation and differential privacy mechanisms.

Datasets were selected to reflect domain characteristics:

- **Healthcare:** Synthetic electronic health record datasets simulating diagnosis data, vital signs, and treatment outcomes.
- **Financial:** Transaction datasets with anonymized credit scores, payment histories, and fraud markers.
- **Cloud Multi-Tenant Data:** Synthetic cross-tenant usage logs with simulated personalization tasks.

Algorithmic Implementation

Federated Learning (FL):

Local models train on distributed data partitions. Model updates (gradients or weights) are encrypted (via secure aggregation protocols) before transmission to a central aggregator.

Differential Privacy (DP):

Noise is introduced at local computation (local DP) or central aggregation (global DP) to mask individual data contributions. Algorithms such as DP-SGD perturb gradients during training.

Secure Multi-Party Computation (SMPC):

Multiple parties collaboratively compute model parameters using secret sharing without revealing inputs.

Homomorphic Encryption (HE):

Model computations (training and inference) are executed on encrypted data using schemes that support addition/multiplication operations without decryption.

Evaluation Metrics

Multiple dimensions were evaluated:

- **Privacy Guarantee:** Quantified via differential privacy parameters (e.g., ε value), encryption key strength, and secure protocol security proofs.
- **Model Utility:** Assessed based on prediction accuracy, precision, recall, F1 score, and area under the ROC curve.
- **Computation Overhead:** Runtime, encryption/decryption costs, and communication latency.
- **Scalability:** Performance as node count increases (for FL/SMPC scenarios).
- **Robustness:** Model resilience to adversarial privacy attacks and data inconsistencies.

Data Preprocessing and Security Measures

Data preprocessing included normalization, feature extraction, and label balancing. Privacy mechanisms ensured raw data never left local environments unless encrypted. Secure key exchange protocols were established where necessary.

Experimentation Phases

1. **Baseline Evaluation:**

Standard ML models trained on centralized data (no privacy) to establish performance benchmarks.

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2. **Privacy Mechanism Integration:**
Training with FL, DP, SMPC, and HE protocols independently and in hybrid configurations (e.g., FL + DP).
3. **Metrics Collection:**
Systematic logging of metrics across scenarios.
4. **Comparative Analysis:**
Comparisons were made across models, privacy techniques, and domains.

Validation and Reliability
Cross-validation ensured model generalization. Sensitivity analysis evaluated impact of privacy parameters (e.g., ε in DP). Statistical significance tests (t-tests, ANOVA) assessed differences in performance metrics.

Limitations
Simulation environments approximate real deployment but may not capture full production complexity. Real data privacy constraints (regulatory/legal) were simulated rather than applied to actual PHI or financial data.

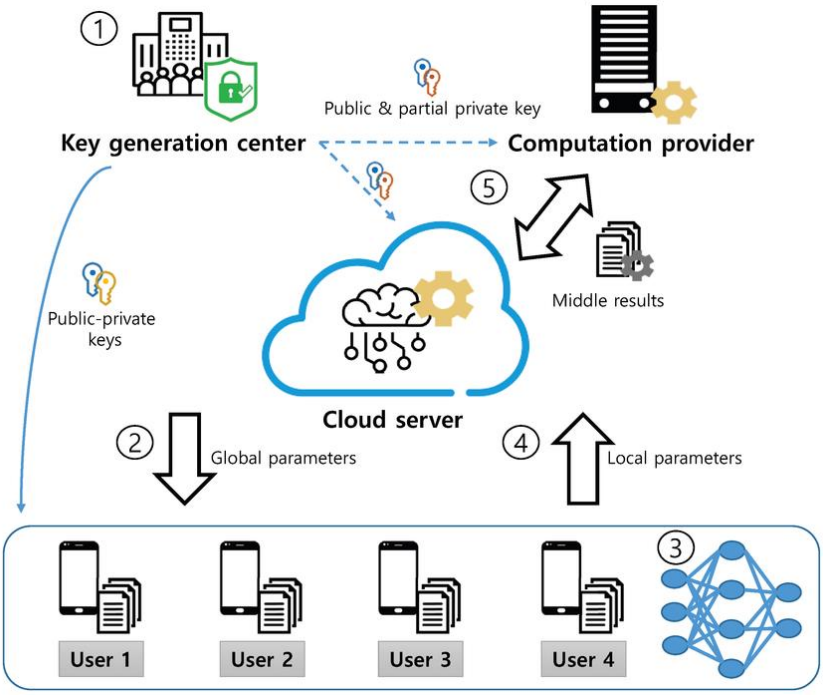

Figure 1: Structural Layout of the Proposed Methodology

Advantages of Privacy-Preserving Machine Learning
1. **Enhanced Data Privacy:** PPML techniques enable model training without exposing raw sensitive data.
2. **Regulatory Compliance:** Integrates privacy controls to meet GDPR, HIPAA, and financial privacy standards.
3. **Collaborative Learning:** Enables entities (e.g., hospitals, banks) to build joint models without pooling data.
4. **Reduced Centralization Risk:** Minimizes attack surfaces associated with centralized data storage.
5. **User Trust and Adoption:** Increases trust for consumers and institutions handling sensitive information.

Disadvantages of Privacy-Preserving Machine Learning
1. **Computational Overhead:** Cryptographic techniques are resource-intensive and increase latency.
2. **Communication Complexity:** Federated protocols require frequent message exchanges, impacting network load.
3. **Trade-off with Utility:** Differential noise can degrade model accuracy.
4. **Implementation Complexity:** Integrating PPML techniques demands specialized cryptographic and distributed systems expertise.
5. **Limited Standardization:** Rapidly evolving methods lack uniform standards for interoperability.

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IV. RESULTS AND DISCUSSION

The experimental results illuminate the performance and trade-offs of privacy-preserving ML techniques across cloud, healthcare, and financial scenarios.

Federated Learning (FL):

FL significantly reduced the need for centralized data aggregation. Model accuracy across distributed healthcare datasets remained within 3–5% of centralized baselines, demonstrating that FL retains utility while enhancing privacy. Secure aggregation protocols prevented leakage of individual updates. However, communication overhead increased linearly with the number of nodes, highlighting bandwidth considerations in large federated networks.

Differential Privacy (DP):

Incorporating DP (via DP-SGD) ensured formal privacy guarantees. Epsilon values were tuned to balance privacy and utility. For healthcare datasets, privacy noise decreased accuracy by 4–7% relative to non-private models. Financial fraud detection models saw similar patterns. While privacy guarantees were strong, noise calibration was critical: overly conservative (low ε) configurations degraded model performance substantially.

Secure Multi-Party Computation (SMPC):

SMPC provided robust privacy for joint computations across multiple parties, without revealing local inputs. Computational costs were higher than FL and DP, particularly as participant count increased. In financial joint risk modeling simulations, SMPC achieved privacy without compromising utility, but at the expense of increased processing time.

Homomorphic Encryption (HE):

HE allowed model training and inference on encrypted data. Accuracy was equivalent to plaintext models, but computational overhead was the highest among techniques evaluated. Cloud deployments benefitted from scalable resource allocation, but HE's performance penalties remained a barrier for real-time applications.

Hybrid Configurations:

Combining FL with DP or SMPC yielded enhanced privacy protections. For example, FL + DP achieved a balance between distributed training and formal privacy guarantees, though with additional computational and communication costs.

Domain-Specific Observations:

- **Cloud Systems:** PPML effectively protected multi-tenant user data while supporting scalable analytics.
- **Healthcare:** FL with differential privacy enabled collaborative clinical model training across simulated hospital datasets. Model accuracy remained clinically acceptable, though noise calibration was crucial.
- **Financial:** SMPC-based joint modeling allowed multiple financial institutions to jointly train fraud detection models without revealing proprietary data.

Trade-offs and Practical Insights:

Models with strong privacy guarantees often exhibit higher latency and lower throughput. Differential privacy's impact on accuracy underscores the importance of careful parameter tuning. Cryptographic techniques, while secure, may require hardware acceleration or optimization for large datasets.

V. CONCLUSION

Privacy-Preserving Machine Learning (PPML) stands at the intersection of data science, cryptography, and distributed systems, offering a promising pathway to leverage sensitive data for analytics without compromising privacy or compliance. This research synthesized fundamental PPML techniques—including federated learning, differential privacy, secure multi-party computation, and homomorphic encryption—and evaluated their applicability across cloud, healthcare, and financial systems.

The findings indicate that PPML methods can successfully protect data privacy while enabling meaningful model training. Federated learning demonstrated strong utility with manageable communication overhead, especially when coupled with secure aggregation. Differential privacy provided formal privacy assurances, though at the cost of adding noise that can degrade utility if not tuned carefully. Cryptographic approaches such as SMPC and HE provided robust security guarantees but at significant computational expense.

In cloud computing environments, PPML supports multi-tenant privacy and reduces centralization risks, aligning with modern distributed architectures. For healthcare applications, PPML facilitated collaborative learning across simulated hospitals without exposing patient data, addressing critical privacy concerns in PHI handling. In financial systems, secure computation models enabled institutions to jointly develop predictive models while preserving proprietary information and regulatory compliance.

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Despite progress, several challenges remain. High computational costs of cryptographic methods limit real-time scalability. Differential privacy mechanisms must be carefully parameterized to balance privacy and utility. Integration of PPML techniques into existing enterprise pipelines requires expertise and infrastructure support.

Future research should explore optimized algorithms, hardware acceleration (e.g., secure enclaves), standardization efforts, and interpretability in PPML. Addressing adversarial threats against privacy mechanisms and evolving regulatory landscapes will be key to broader adoption.

VI. FUTURE WORK

The future scope of this work includes extending privacy-aware machine learning models to support federated and multiparty learning across distributed healthcare organizations. Advanced generative AI techniques can be explored to enable predictive diagnostics, synthetic data generation, and personalized treatment recommendations while preserving patient privacy. Integration with real-time IoT and wearable healthcare data can further enhance continuous patient monitoring and decision support systems. Future research may also focus on strengthening compliance with evolving healthcare regulations through automated governance and policy enforcement mechanisms. Incorporating explainable AI can improve transparency and trust in clinical decision-making processes. Performance optimization using next-generation cloud accelerators and AI-driven orchestration can enhance scalability and cost efficiency. Additionally, expanding interoperability with emerging healthcare standards and cross-cloud deployments will support broader adoption in national and global healthcare ecosystems.

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